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Date: August 27, 2003

Docket No.: 0933-0210P

MS PATENT APPLICATION

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

As authorized by the inventor(s), transmitted herewith for filing is a patent application applied for on behalf of the inventor(s) according to the provisions of 37 C.F.R. § 1.41(c), which claims priority under 35 U.S.C. § 119(e) of Provisional Application No. 60/406,927 filed on August 30, 2002

Inventor(s): Mart SAARMA, Juha LAUREN, Päivi LINDHOLM, Tonis TIMMUSK, Raimo TUOMINEN

For: NOVEL NEUROTROPHIC FACTOR PROTEIN AND USES THEREOF

Enclosed are:

- ☒ A specification consisting of seventy-one (71) pages
- ☒ Fifteen (15) sheet(s) of formal drawings
- ☐ Applicant does not claim priority

- ☒ Applicant claims the right of priority under 35 U.S.C. § 119 based on Application Nos. 60/406,927 filed in the United States on August 30, 2002.
☐ Certified copy(ies) is(are) attached hereto.
☐ Certified copy(ies) will follow.
- ☒ Executed Declaration in accordance with 37 C.F.R. § 1.64 will follow
- ✓ ☒ Applicant claims small entity status under 37 C.F.R. § 1.27.
- ☐ Preliminary Amendment
- ☐ Application Data Sheet in accordance with 37 C.F.R. § 1.76
- ☐ Information Disclosure Statement, PTO-1449 and reference(s)
- ☐ Applicant requests early publication - \$300.00 publication fee
- ✓ ☒ Non-publication Request and Certification under 35 U.S.C. § 122(b)(2)(B)(i)
- ✓ ☒ Other: Sequence Listing - five (5) pages
- ☒ Provisional application filed in English:
 ✓ ☒ YES ☐ NO

If "NO," an English translation with a Statement of Accuracy:
☐ is attached hereto ☐ will follow.

The filing fee has been calculated as shown below:

			LARGE ENTITY	SMALL ENTITY
BASIC FEE			\$750.00	\$375.00
	NUMBER FILED	NUMBER EXTRA	RATE FEE	RATE FEE
TOTAL CLAIMS	28-20=	8	x 18 = \$0.00	x 9= \$72.00
INDEPENDENT CLAIMS	10-3=	7	x 84 = \$0.00	x42= \$294.00
<input checked="" type="checkbox"/> MULTIPLE DEPENDENT CLAIMS PRESENTED			+ \$280.00	+ \$140.00
TOTAL			\$0.00	\$881.00

- ☒ The application transmitted herewith is filed in accordance with 37 C.F.R. § 1.41(c). The undersigned has been authorized by the inventor(s) to file the present application. The original duly executed declaration together with the surcharge will be forwarded in due course.
- ✓ ☒ A check in the amount of \$881.00 to cover the filing fee is enclosed.
- ☐ Please charge Deposit Account No. 02-2448 in the amount of \$0.00. A triplicate copy of this transmittal form is enclosed.
- ☒ Please send correspondence to:
BIRCH, STEWART, KOLASCH & BIRCH, LLP or Customer No. 02292
P.O. Box 747
Falls Church, VA 22040-0747
(703) 205-8000

If necessary, the Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to Deposit Account No. 02-2448 for any additional fees required under 37 C.F.R. §§ 1.16 or 1.17; particularly, extension of time fees.

Respectfully submitted,

BIRCH, STEWART, KOLASCH & BIRCH, LLP

By 
Gerald M. Murphy, Jr., #28 977

P.O. Box 747
Falls Church, VA 22040-0747
(703) 205-8000

GMM/las
0933-0210P

Attachment(s)

(Rev. 08/12/03)

IN THE U.S. PATENT AND TRADEMARK OFFICE

Applicant: Mart SAARMA et al. Conf.: UNASSIGNED
Appl. No.: NEW Group: UNASSIGNED
Filed: August 27, 2003 Examiner: UNASSIGNED
For: NOVEL NEUROTROPHIC FACTOR PROTEIN AND
USES THEREOF

REQUEST AND CERTIFICATION
UNDER 35 U.S.C. § 122(b)(2)(B)(i)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

August 27, 2003

Sir:

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

This request must be signed in compliance with 37 C.F.R. § 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this non-publication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b) (2) (B) (iii)).**

If necessary, the Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to Deposit Account No. 02-2448 for any additional fees required under 37 C.F.R. § 1.16 or under 37 C.F.R. § 1.17; particularly, extension of time fees.

Respectfully submitted,

BIRCH, STEWART, KOLASCH & BIRCH, LLP

By 
Gerald M. Murphy, Jr., #28,977

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(Rev. 04/30/03)